## MTH 605 - Midterm Solutions

- 1. A space X is said to be *contractible* if the identity map  $id_X$  on X is nulhomotopic.
  - (a) Show that a space X is contractible if and only if it is homotopically equivalent to a one-point space.
  - (b) Show that a retract of a contractible space is contractible.

**Solution.** (a) Consider an  $x_0 \in X$  such that  $id_X \simeq c_{x_0}(\text{via } F)$ . We claim that the constant map  $c_{x_0}$  is a homotopy equivalence between X and  $\{x_0\}$ . Clearly,  $c_{x_0} \circ i_{x_0} = id_{\{x_0\}}$ , where  $i_{x_0} : \{x_0\} \to X$  is the inclusion. Furthermore, since  $i_{x_0} \circ c_{x_0} \simeq c_{x_0}$ , it follows from our hypothesis that  $id_X \simeq i_{x_0} \circ c_{x_0}(\text{via } F)$ .

Conversely, suppose that X is homotopically equivalent to  $\{x_0\}$ . Then by assumption  $c_{x_0} = i_{x_0} \circ c_{x_0} \simeq id_X$ , from which it follows that X is contractible.

(b) Let  $x_0 \in A \subset A$ , and let  $r: X \to A$  be a retraction. Then we claim that  $c_{x_0}|_A$  is a homotopy equivalence. As before,  $c_{x_0}|_A \circ i_{x_0} = id_{\{x_0\}}$ , where  $i_{x_0}: \{x_0\} \to A$  is the inclusion. So it suffices to show that  $id_A \simeq i_{x_0} \circ c_{x_0}|_A$ . However, it is easily seen that  $id_A \simeq i_{x_0} \circ c_{x_0}|_A$  (via  $r \circ F$ ).

- 2. Let  $f : S^1 \to S^1$  be a continuous map, and let  $f_* : \pi_1(S^1, 1) \to \pi_1(S^1, f(1))$  be the homomorphism induced by f. Then the *degree* of f (denoted by deg(f)) is an integer d such that  $f_*([\alpha]) = d(\hat{\beta}_{f(1)}([\alpha]))$ , where  $[\alpha]$  is a generator of  $\pi_1(S^1, 1)$  and  $\beta_x$  is the path in  $S^1$  obtained by traversing along  $S^1$  in the counterclockwise direction from a point  $x \in S^1$  to 1.
  - (a) Compute  $\deg(f)$  for the following maps.
    - (i)  $f = c_1$ .
    - (ii)  $f(z) = \overline{z}$ .
    - (iii)  $f(z) = z^n$ .
  - (b) Show that  $\deg(f \circ g) = \deg(f)\deg(g)$ .
  - (c) Let  $f, g: S^1 \to S^1$  be continuous maps. Then show that  $f \simeq g$  if and only if  $\deg(f) = \deg(g)$ .

**Solution.** First, we note that  $\deg(f)$  is independent of the choice of path (Verify this!). For simplicity, given  $[\alpha] \in \pi_1(S^1, 1)$  and  $f : S^1 \to S^1$ , we define

$$[\alpha_f] := \hat{\beta}_{f(1)}([\alpha]) = [\bar{\beta}_{f(1)} * \alpha * \beta_{f(1)}].$$

(a) (i) When  $f = c_1$ , it follows that:

$$f_*([\alpha]) = [(c_1 \circ \alpha_f)] = [(c_1)_f],$$

which shows that  $\deg(f) = 0$ .

(ii) When  $f(z) = \overline{z}$ , we have:

$$f_*([\alpha]) = [(f \circ \alpha_f)] = [\bar{\alpha}_f] = -[\alpha_f],$$

where the bar over the  $\alpha_f$  signifies complex conjugation. Thus, it follows that  $\deg(f) = -1$ .

(iii) When  $f(z) = z^n$ , we have:

$$f_*([\alpha]) = [(f \circ \alpha)] = [(\alpha_f)^n] = n[\alpha_f],$$

from which we can infer that  $\deg(f) = n$ .

(b) Let  $\deg(f) = d_1$  and  $\deg(g) = d_2$ . Then given  $[\alpha] \in \pi_1(S^1, 1)$ , we have:

$$(f \circ g)_*([\alpha]) = f_*(g_*([\alpha])) = f_*(d_2[\alpha_g]) = d_2f_*([\alpha_g]) = d_2d_1[\alpha_{f \circ g}],$$

from which the assertion follows.

(c) If  $f \simeq g$ , then  $f_* = g_*$ , from which it immediately follows that  $\deg(f) = \deg(g)$ . Conversely, suppose that  $\deg(f) = \deg(g)$ . We note that any continuous map  $h : S^1 \to S^1$  can be viewed a loop in  $S^1$  based at 1. (Too see this, verify the following fact:  $\pi_1(S^1, 1)$  is in bijective correspondence with the homotopy classes of continuous maps  $S^1 \to S^1$ ). Moreover, for any homotopy class  $[\beta] \in \pi_1(S_1, 1)$ , there exists a unique  $d \in \mathbb{Z}$  such that  $[\beta] = d[\alpha]$ . Hence, as  $\deg(f) = \deg(g)$ , as loops they represent the same homotopy class  $\pi_1(S_1, 1)$ , from which it follows that  $f \simeq g$ .

3. Consider the torus T imbedded  $\mathbb{R}^3$  whose points satisfy the quartic equation

$$(x^{2} + y^{2} + z^{2} + r^{2} - 1)^{2} = 4r^{2}(x^{2} + y^{2}),$$

where r > 1. Consider the antipodal identification on the torus T defined by  $(x, y, z) \sim (-x, -y, -z)$  for each  $(x, y, z) \in T$ . Let  $q: T \to K$  be induced quotient map and let K be the quotient space  $T/\sim$ .

- (a) Show that  $q: T \to K$  is a covering space.
- (b) Show that there exists an index-two subgroup of the  $\pi_1(K)$  that is isomorphic to  $\mathbb{Z} \times \mathbb{Z}$ .

**Solution.** (a) First, we note that under the given embedding of T, the intersection of T with the  $\{(x, y, z) \in \mathbb{R}^3 : z = 0\}$  (xy-plane) in the disjoint union of the circles  $S^1 = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 = 1, z = 0\}$  and  $S_r^1 = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 = r^2, z = 0\}$ . Moreover, T does not intersect the z-axis and any rotation of  $\mathbb{R}^3$  about the z-axis leaves T invariant.

Under the antipodal identification  $\sim$  on T each point point in  $T \cap \{(x, y, z) \in \mathbb{R}^3 : z > 0\}$  is identified with a unique antipodal point in  $T \cap \{(x, y, z) \in \mathbb{R}^3 : z < 0\}$ . Furthermore, each point on the circle  $S^1$  (resp.  $S_r^1$ ) is identified with a unique antipodal point on the  $S^1$  (resp.  $S_r^1$ ). Thus, the quotient space  $K = T/\sim$  can also be described as being obtained by the antipodal identification on the two boundary components  $S^1 \sqcup S_r^1$  of the hemitorus  $T' = T \cap \{(x, y, z) \in \mathbb{R}^3 : z \ge 0\}$ . (Show that  $K \approx \mathbb{R}P^2 \# \mathbb{R}P^2$ , the Klein bottle.)

Consider the quotient map  $q: T \to K = T/\sim$  induced by the antipodal identification described above. Then any point  $x \in K$  lifts to an antipodal pair  $\{\tilde{x}, -\tilde{x}\}$  of points in T. Let B(z, r) denote the open ball in  $\mathbb{R}^3$  centered at z and radius r and let  $A: \mathbb{R}^3 \to \mathbb{R}^3$  be the antipodal map. Choose t < (r-1)/4 and consider the open sets  $U = B(\tilde{x}, t) \cap T$ and  $A(U) = B(-\tilde{x}, t) \cap T$  in T. Then, clearly  $U \cap A(U) = \emptyset$  and both U and A(U) are mapped homeomorphically by q to an open set V in K containing x. Therefore, V is an evenly covered neighborhood of x, and the assertion follows.

(b) Following the notation in 3(a) above, let  $H = q_*(\pi_1(T, \tilde{x}))$ . Since  $q: T \to K$  is a covering space, it follows from Lesson Plan 4.4 (iii)(b) that  $\pi_1(K, x)/H \to \{\tilde{x}, -\tilde{x}\}$  is a bijection. Moreover, as  $q_*$  is injective, it follows that  $H \cong \pi_1(T, (1, 1)) \cong \mathbb{Z} \times \mathbb{Z}$ . Thus, H is the required index-two subgroup of the  $\pi_1(K, x)$  that is isomorphic to  $\mathbb{Z} \times \mathbb{Z}$ .

4. Let X be the quotient space obtained by identifying the circle  $x^2 + y^2 = 1, z = 0$  in the torus T (as in Problem 3 above) with the equator of the unit sphere  $S^2$  centered at the origin (0, 0, 0). Use the Seifert-Van Kampen theorem to compute the fundamental group of X.

**Solution.** Let  $A_1 = B(0, r - 1/2) \cap X$  and  $A_2 = T \cup (\{(x, y, z) \in \mathbb{R}^3 : z \in (-1/2, 1/2)\} \cap S^2)$ . Following the notation in Problem 4, we have  $X = A_1 \cup A_2$ , where  $A_1 \simeq S^2$ ,  $A_2 \simeq T$ , and  $A_1 \cap A_2 \simeq S^1$ . Let x = (1, 0, 0) and  $i_* : \pi_1(A_1 \cap A_2, x) \to \pi_1(X, x)$  and  $j_* : \pi_1(A_1 \cap A_2, x) \to \pi_1(X, x)$  induced by the inclusions  $i : (A_1 \cap A_2, x) \to (X, x)$  and  $j : (A_1 \cap A_2, x) \to (X, x)$ , respectively. Let  $\beta$  be the generator of  $\pi_1(A_1, x) \cong \pi_1(S^1, x)$  represented by loop based at x in  $S^1$  (the equator

of  $S^2$ ) that traverses once around  $S^1$  in the counterclockwise direction,  $\beta'$  be the generator of  $\pi_1(A_2, x) \cong \pi_1(T, x)$  represented by a loop based at x in T that traverses once around the logitudinal curve of T (along which T is identified with  $S^2$  by construction), and let  $\alpha'$  be the other generator of  $\pi_1(T, x)$  represented by the loop based at x in T that traverses once around the meridional curve of T (perpendicular to the longitudinal curve at x) in the counterclockwise direction.

By construction, it is apparent that  $i_*$  is trivial, since  $S^2$  is simplyconnected, and  $j_*(\beta) = \beta'$ . By the Seifert-Van Kampen theorem, we get

$$\pi_1(X, x) = \pi_1(A_1, x) * \pi_1(A_2, x) / N,$$

where N is normally generated by  $\{i_*(\alpha)j_*(\alpha)^{-1}: \alpha \in \pi_1(A_1 \cap A_2, x) \cong \pi_1(S^1, x)\}$ . Hence, it follows that

$$\pi_1(X, x) \cong \langle \alpha', \beta' \rangle / \langle (\beta')^{-1} = (j_*(\beta))^{-1} \rangle \cong \langle \alpha' \rangle \cong \mathbb{Z}.$$

5. (Bonus). Show that for  $n \ge 2$ ,  $S_n$  is not contractible.

**Solution.** For  $x_0 \in S^n$ , suppose that  $c_x \simeq id_{x_0}(\text{via } F)$ . Then the map  $r: D^{n+1} \to S^n$  defined by

$$r(x) = \begin{cases} x_0, & \text{if } \|x\| \le 1/2, \text{ and} \\ F(\frac{x}{\|x\|}, 2\|x\| - 1), & \text{if } \|x\| \ge 1/2, \end{cases}$$

defines a retraction of  $D^{n+1} \to S^n$  (Verify this!), which is a contradiction. (Note that this proof assumes the following nontrivial fact: There exists no retraction from  $D^{n+1} \to S^n$ . The proof for n = 1 was discussed in class as part of Lesson Plan 2.5 (iv).)